

Modern Slavery and Human Trafficking Statement 2018

True Potential LLP



Statement for the Financial Year ending 31st December 2017

This statement is made on behalf of True Potential LLP (the "Company") pursuant to section 54(1) of the Modern Slavery Act 2015. The statement covers all of the True Potential Group companies including True Potential Investments LLP, True Potential Wealth Management LLP and True Potential Adviser Services LLP.

Structure and supply chains

True Potential LLP is registered in England & Wales as a Limited Liability Partnership No. OC380771. The Company's registered office is Newburn House, Gateway West, Newburn Riverside, Newcastle Upon Tyne, NE15 8NX.

The company is a financial services provider with a large focus on technology to provide simple effective and unique solutions to financial advisers and members of the public. Our supply chain consists primarily of professional services suppliers: such as solicitors, administrators, accountants, financial advisers, storage facilities, office supplies and technology providers, substantially all of which are based in or operating from the United Kingdom.

Group policies

The Company's success depends not only on our technical ability, but also on our employees and wider workforce acting ethically. We are committed to complying with not only the financial regulations and conduct rules that are prevalent in our industry but all applicable laws and regulations. Our aim is to create a fair and transparent working environment within our business, demonstrated by our Code of Conduct, Whistleblowing and Fit & Proper Policies.



Due diligence processes

We expect the same high standards of ethical behaviour of our suppliers and focus on developing relationships with these suppliers based on transparency. Although our suppliers operate independently and in different industries, we recognise that their actions can impact our reputation. As such, we strive through our procurement diligence processes and third-party supplier policies to select suppliers that share our values and demonstrate a commitment to the highest professional standards and ethical conduct in their business dealings. Our directors oversee our procurement process.

Risk assessment

The nature of the Company's business is such that our supply chain consists primarily of professional services suppliers: such as solicitors, administrators, accountants, financial advisers, storage facilities, office supplies and technology providers, substantially all of which are based in or operating from the United Kingdom. Consequently, we consider our supply chain to be low risk with regards to such relationships and modern slavery and do not consider any further action to be necessary as an immediate next step to mitigate the risk of modern slavery in the supply chain.

Measuring effectiveness

We have not identified any areas requiring immediate action with regard to such relationships beyond our regular procurement diligence processes and our internal third-party supplier policies.

We have not had any reports of modern slavery or human trafficking in any group company or any part of our supply chain.

We are, however, committed to taking all appropriate steps to mitigate the risk of slavery or human trafficking taking place in our supply chain. We regularly review our procurement and supply chain management procedures with a view to further reinforcing this commitment.



Training

We will train all of our colleagues with procurement responsibilities to ensure they understand the risks of modern slavery and human trafficking and to enable them to identify and report any instances as part of our Whistleblowing procedures.

Our Directors oversee our procurement processes, and all have been made aware and are committed to ensuring that True Potential's supply chain is free from modern slavery and human trafficking.

This statement has been approved by the True Potential LLP board of directors.

A handwritten signature in black ink that reads "Neil Johnson". The signature is written in a cursive style with a long horizontal line underneath.

Neil Johnson
Senior Partner
True Potential LLP



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